

# Amundi Hang Seng HK 35 Index ETF

Stock Codes: 3012 (HKD) / 83012 (RMB)



## Important Information:

Amundi Hang Seng HK 35 Index ETF (the "HS HK35 ETF") is a physical exchange traded fund seeking to provide investment results that, before fees and expenses, closely correspond to the performance of the Hang Seng HK 35 index (the "Index").

- Investment involves risks and investors may lose substantial part of their investment in the HS HK35 ETF. In particular, the HS HK35 ETF's investment in equity securities is subject to general market risks.
- The HS HK35 ETF is different from a typical unit trust as it is listed on the Stock Exchange of Hong Kong Limited, and like other listed stocks, carries similar risks such as liquidity risk and risk of trading suspension. The market price per Unit could be significantly higher or lower than its Net Asset Value per Unit due to market demand and supply, liquidity and scale of trading spread in the secondary market and will fluctuate during the trading day.
- The HS HK35 ETF is subject to tracking error risks, risks associated with passive investments and risks of concentration of investments in a single market/several constituent stocks of the Index.
- The HS HK35 ETF is also subject to dual counter risks, RMB trading and settlement risks, RMB currency and foreign exchange risks and reliance on market maker risks.
- The Manager intends to pay distributions to shareholders at least semi-annually. Distributions may be made out of capital or effectively out of capital as well as income at the Manager's discretion. Where distributions are made out of capital or effectively out of capital, this may result in an immediate reduction in the Net Asset Value per Unit.

## December 2018

### Investment objective:

To provide investment results that, before fees and expenses, closely correspond to the performance of the Hang Seng HK 35 index (the "Index").

### Description of the Index:

The Hang Seng HK 35 index comprises the 35 largest Hong Kong-listed companies which derive the majority of their sales revenue from areas outside mainland China.

### Key data

Stock codes	3012 (HKD counter) 83012 (RMB counter)
Exchange listing	SEHK - Main Board
Listing date	12 Apr 2016 (HKD counter) 12 Apr 2016 (RMB counter)
Base currency	HKD
Trading currency	HKD, RMB
Fund size	HKD 156.37mn
Units outstanding	8,000,000
Trading lot size	500 units (HKD counter) 500 units (RMB counter)
Distribution policy*	The Manager intends to pay dividends semi-annually (Jun, Dec) in HKD
Number of holdings	35
Management fee <sup>1</sup>	0.28%
Bloomberg ticker	3012 HK (HKD counter) 83012 HK (RMB counter)
Index ticker	HSI 35TR

\* Dividend is not guaranteed. Please refer to point 5 of the Important Information.

All information is as of 31 December 2018 unless otherwise specified.

For more information, please visit us at [www.amundietf.com.hk](http://www.amundietf.com.hk)

## Performance from 12/04/16 - 31/12/18



## Cumulative Performance<sup>^</sup>

Counter	NAV	1 month	3 months	1 year	3 years	Since Listing
HKD	HKD 19.5463	-0.62%	-5.30%	-9.27%	-	33.06%
RMB	RMB 17.1700	-1.64%	-5.43%	-4.37%	-	40.02%
<b>Index</b>		<b>-0.58%</b>	<b>-5.24%</b>	<b>-9.04%</b>	-	<b>34.29%</b>

## Calendar Year Performance<sup>^</sup>

Counter	2013	2014	2015	2016*	2017	YTD	ISIN Code
HKD	-	-	-	7.19%	36.83%	-9.27%	HK0000286804
RMB	-	-	-	15.45%	26.83%	-4.37%	HK0000286812
<b>Index</b>	-	-	-	<b>7.43%</b>	<b>37.43%</b>	<b>-9.04%</b>	

<sup>^</sup> All performance figures are calculated based on NAV to NAV in denominated currencies with dividend reinvested.

The last closing Net Asset Value per Unit in RMB, is indicative and for reference only and is calculated by the Trustee using the official last closing NAV per Unit in HKD multiplied the fixing exchange rate provided by Bloomberg for offshore RMB (CNH) at 3:00 p.m. (Hong Kong time) as of the same dealing day.

\* Performance figures are calculated from 12 Apr 2016 to 31 Dec 2016.

## Portfolio Top 10 Holdings

AIA	10.07%
HSBC Holdings	9.87%
HKEX	8.65%
CK Hutchison Holdings	6.53%
CLP Holdings	5.40%
Link REIT	5.37%
Hong Kong & China Gas	4.81%
CK Asset Holdings	4.77%
Hang Seng Bank	4.32%
Sun Hung Kai Properties	4.16%

## Portfolio Sector Breakdown

Financials	36.37%
Real estate	25.20%
Utilities	13.90%
Consumer discretionary	11.68%
Industrials	9.36%
Consumer staples	1.71%
Healthcare	0.88%
Information technology	0.70%
Others & cash	0.20%

## Risk Indicators (over a 1-year period)

Portfolio volatility	16.41%
Ex-post tracking error (based on weekly returns)	0.08%
Sharpe ratio	-0.63

Source of statistical information: Amundi  
All statistical information calculations are based on NAV of HKD counter

## Market Makers (HKD and RMB counters)

BNP Paribas Securities (Asia) Limited
Commerz Securities Hong Kong Limited
HSBC Securities Brokers (Asia) Limited
Merrill Lynch Far East Limited

## Distribution Information\*

Month	Distribution	Ex-dividend date
Jun 2018	HKD 0.34	15 Jun 2018
Dec 2018	HKD 0.40	20 Dec 2018

\* Dividend is not guaranteed. Please refer to point 5 of the Important Information.

## Participating Dealers

ABN AMRO Clearing Hong Kong Limited
BNP Paribas Securities Services
China Merchants Securities (HK) Co., Limited
Deutsche Securities Asia Limited
Merrill Lynch Far East Limited
The HongKong and Shanghai Banking Corporation Limited

1. Management fee includes trustee fee, custodian fee and registrar's fee.

### Disclaimer:

Past performance information presented is not indicative of future performance. Where no past performance is shown, there was insufficient data to provide a useful indication of past performance to investors. Investment involves risks. Investors should not only base on this material alone to make investment decisions, but should read the HS HK35 ETF's offering documents (including the full text of the risk factors stated therein) in detail. The issuer of this document and the website is Amundi Hong Kong Limited. This document and the website have not been reviewed by the Securities and Futures Commission in Hong Kong.

Please read the disclaimer in relation to the Hang Seng HK 35 index in the prospectus.

This document is not intended for citizens or residents of the United States of America or to any «U.S. Person», as this term is defined in SEC Regulation S under the U.S. Securities Act of 1933 and in the Prospectus of the Fund.