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82846/  
9846

# iShares Core CSI 300 Index ETF

As of 31/08/2017

**iShares**<sup>®</sup>  
by **BLACKROCK**<sup>®</sup>

**IMPORTANT:** Investment involves risk, including the loss of principal. Investors should refer to the Prospectus and Key Facts Statement of the iShares Core CSI 300 Index ETF (the "ETF") for details, including the risk factors. Investors should not base investment decisions on this marketing material alone. Investors should note:

- The ETF aims to provide investment results that, before fees and expenses, closely correspond to the performance of CSI 300 Index (the "Underlying Index").
- The ETF's investment in equity securities is subject to general market risks, whose value may fluctuate due to various factors, such as changes in investment sentiment, political and economic conditions and issuer-specific factors.
- Generally, investments in or linked to emerging markets, such as the A Share market, may involve increased risks such as liquidity risks, currency risks/control, political and economic uncertainties, legal, regulatory and taxation risks, settlement risks, custody risk and the likelihood of a high degree of volatility. The A Share market may be more volatile and unstable than those in the more developed markets. The ETF's exposure is concentrated in the PRC and may be more volatile than funds adopting a more diversified strategy.
- The ETF currently does not provision for withholding tax on capital gains ("CGT") arising from its investment via RQFII or its investment via Stock Connect. There are risks and uncertainties associated with the current PRC tax laws, regulations and practice in respect of capital gains realized on the ETF's PRC investments, which may have retrospective effect. Any increased tax liabilities on the ETF may adversely affect its value, and the resultant tax liability would be eventually borne by investors.
- The ETF is subject to restrictions and requirements applicable to RQFII investment, and the applicable laws, rules and regulations in the PRC, which are subject to change and such change may have potential retrospective effect. The ETF may be unable to utilise the RQFII quota if the relevant RQFII Licence is revoked/terminated or otherwise invalidated as the ETF may be prohibited from trading relevant securities and repatriation of the ETF's monies, or if any of the key operators is bankrupt or in default and/or is disqualified from performing its obligations.
- The relevant rules and regulations on the Stock Connect are subject to change which may have potential retrospective effect. The Stock Connect is subject to quota limitations. Where a suspension in the trading through the programme is effected, the ETF's ability to invest in A shares through Stock Connect will be adversely affected.
- The ETF is denominated in HKD whilst the Physical A Share ETFs and A Shares are denominated in RMB. Accordingly the ability of the ETF to track the Underlying Index is subject to foreign exchange fluctuations between the HKD and the RMB. RMB is currently not freely convertible and is subject to exchange controls and restrictions. Non-RMB based investors are exposed to foreign exchange risk.
- The ETF is subject to tracking error risk, which is the risk that its performance may not track that of the Underlying Index exactly.
- If there is a suspension of the inter-counter transfer of units between the counters and/or any limitation on the level of services provided by brokers and CCASS participants, Unitholders will only be able to trade their units in one counter, which may inhibit or delay an investor dealing. The market price of units traded in each counter may deviate significantly.
- The Manager may at its discretion pay dividends out of capital or effectively out of capital which amounts to a return or withdrawal of part of an investor's original investment or from any capital gains attributable to that original investment. Any distributions involving payment of dividends out of the ETF's capital may result in an immediate reduction of the ETF's NAV per unit.
- All units will receive distributions in the base currency (HKD) only. In the event that a unitholder has no HKD account, the unitholder may have to bear (i) the fees and charges associated with the conversion of such dividend distributions from HKD to USD, RMB or any other currency; and (ii) other bank or financial institutional fees and charges associated with the handling of the distribution payment in currencies other than HKD. Unitholders are advised to check with their brokers regarding arrangements for distribution.
- The trading price of the units of the ETF on the SEHK is subject to market forces and may trade at a substantial premium/discount to the ETF's NAV.

## INVESTMENT OBJECTIVE

The investment objective of the iShares Core CSI 300 Index ETF is to provide investment results that, before fees and expenses, closely correspond to the performance of the CSI 300 Index. There can be no assurance that the Fund will achieve its investment objective. The CSI 300 Index is a diversified index consisting of 300 constituent stocks traded on the Shanghai and Shenzhen stock exchanges, representing about 70% of the total market capitalization of the two exchanges.

## GROWTH OF 10,000 HKD SINCE INCEPTION



The chart shows change of investment amount based on a hypothetical investment in the Index Fund.

## CUMULATIVE AND ANNUALIZED PERFORMANCE

	Cumulative			Annualized			
	1 Month	3 Month	YTD	1 Year	3 Year	5 Year	Since Inception
Index Fund	4.75%	16.02%	22.87%	18.09%	16.37%	10.87%	1.17%
Index	4.64%	15.96%	24.55%	19.58%	17.51%	13.40%	3.69%

## CALENDAR YEAR PERFORMANCE

	2016	2015	2014	2013	2012
Index Fund	-15.77%	0.34%	51.18%	-6.62%	1.56%
Index	-15.21%	0.79%	54.92%	-2.54%	10.70%

## FUND DETAILS

Listing Date	18/11/2009
Inception Date	12/11/2009
Index	CSI 300 Index
Number of Holdings	294
Net Assets of Share Class	163,625,874 HKD
Management Fee	0.38%
Units Outstanding	5,500,000
Domicile	Hong Kong
Base Currency	HKD
Bloomberg Index Ticker	CSICU007

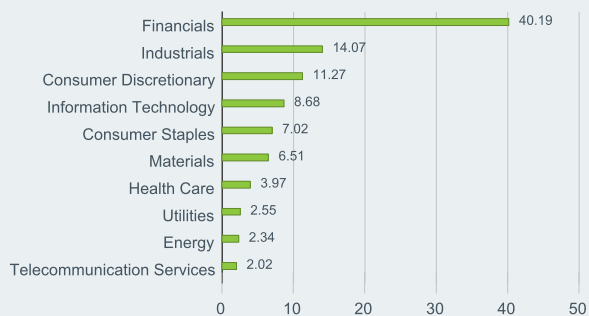
## TOP 10 HOLDINGS (%)

PING AN INSURANCE (GROUP) OF CHINA	5.57
CHINA MERCHANTS BANK LTD A	2.50
KWEICHOW MOUTAI LTD A	2.24
INDUSTRIAL BANK LTD A	1.99
CHINA MINSHENG BANKING CORP LTD A	1.81
GREE ELECTRIC APPLIANCES INC OF ZH	1.68
MIDEA GROUP LTD A	1.67
BANK OF COMMUNICATIONS LTD A	1.64
CHINA VANKE LTD A	1.45
CHINA STATE CONSTRUCTION ENGINEERI	1.37
<b>Total</b>	<b>21.92</b>

Holdings are subject to change.

Performance is calculated based on NAV-to-NAV with dividend reinvested. Performance is calculated in the base currency of the Index Fund, including ongoing charges and taxes, and excluding your trading costs on SEHK. Investment involves risk, including the loss of principal. Past performance does not represent future returns.

## SECTOR BREAKDOWN (%)



## TRADING INFORMATION

	Hong Kong Stock Exchange		
Exchange			
Board Lots	100	100	100
Trading Currency	HKD	CNH	USD
Stock Code	2846	82846	9846
Bloomberg Ticker	2846 HK	82846 HK	9846 HK
ISIN	HK0000057395	HK0000326139	HK0000326147
SEDOL	B59L1Y9	BDGKMJ7	BDGKMK8

## PARTICIPATING DEALERS

Barclays Bank PLC  
 Citigroup Global Markets Asia Limited  
 CLSA Limited  
 Credit Suisse Securities (Hong Kong) Limited  
 ABN AMRO Clearing Hong Kong Limited  
 Goldman Sachs (Asia) Securities Limited  
 The Hongkong and Shanghai Banking Corporation Limited  
 Macquarie Bank Limited  
 Morgan Stanley Hong Kong Securities Limited  
 Nomura International (Hong Kong) Limited  
 UBS Securities Hong Kong Limited

**Disclaimer** Unless otherwise specified, all information as of the month end. Sources: BlackRock and CSI. The above iShares Funds data is for information only.

Investment involves risk, including possible loss of principal. Investment in emerging market countries may involve heightened risks such as increased volatility and lower trading volume, and may be subject to a greater risk of loss than investment in a developed country. Before deciding to invest, investors should read the Prospectus and Key Fact Statements for details, including the risk factors. Performance is calculated on NAV to NAV basis, inclusive of all transaction fees and assumes dividend reinvestment. The investment returns are denominated in base currency, which may be a foreign currency. If so, US/HK dollar-based investors are therefore exposed to fluctuations in the US/HK dollar/foreign currency exchange rate. Rates of exchange may cause the value of investments to go up or down. Investors may not get back the amount they invest. Individual stock prices/performance do not represent the return of the Fund. Investment return and principal value of an investment will fluctuate so that an investor's shares, when sold or redeemed, may be worth more or less than the original cost. Index returns are for illustrative purposes only and do not represent actual iShares Funds or iShares Trusts performance. Index performance returns do not reflect any management fees, transaction costs or expenses. Indexes are unmanaged and one cannot invest directly in an index. Past performance does not guarantee future results.

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