PING AN ETFs

Monthly Factsheet

CIES Eligible^

BENCHMARK FUND OF THE YEAR AWARDS 2015 Hong Kong Equity ETF BEST-IN-CLASS

# Ping An of China CSI HK Dividend ETF 3070

Data as of 31 October, 2017

**IMPORTANT:**

- The Ping An of China CSI HK Dividend ETF (the "HK Dividend ETF") is an index-tracking exchange traded fund, which seeks to track the performance of the CSI Hong Kong Dividend Index (the "Index"). The return may deviate from that of the Index.
- The HK Dividend ETF is subject to concentration risk as a result of tracking the performance of companies whose operations and business are primarily from a single region (i.e. China and Hong Kong). Changes in political, economic and social conditions in the region could adversely affect the value of investments.
- The Index of HK Dividend ETF has 30 constituent stocks, therefore the ETF is likely to be more volatile than a fund tracking an index with a greater number of constituent stocks.
- There is no guarantee of the repayment of principal. Your investment in the fund may suffer losses.
- Dividend distributions are not guaranteed and are subject to the discretion of the Manager.
- The units of the HK Dividend ETF may trade at a discount or premium to the net asset value of the units, which may go up as well as down.
- Investment involves risks and the HK Dividend ETF may not be suitable for everyone. Investors should read the Prospectus and Product Key Facts Statement carefully for further details including various risk factors and consider their own investment objectives and other circumstances before investing.

## Investment Objective

The Ping An of China CSI HK Dividend ETF aims to track the performance of the CSI Hong Kong Dividend Index (the "Index").

## About the Index

The CSI Hong Kong Dividend Index is a stock index which seeks to reflect the performance of high yield securities in the Hong Kong market by selecting the 30 securities with high dividend yield, stable dividend payment and good liquidity from the entire universe of securities listed on the SEHK.

## Fund Details

| | |
|---|---|
| **Exchange** | Stock Exchange of Hong Kong ("SEHK") |
| **Fund Manager** | Ping An of China Asset Management (Hong Kong) Co. Ltd. |
| **Trustee** | HSBC Institutional Trust Services (Asia) Limited |
| **Index** | CSI Hong Kong Dividend Index |
| **Investment Strategy** | Replication |
| **Inception Date** | 10 Feb 2012 |
| **Base Currency** | HKD |
| **Dividend Policy** | Semi-annually at the discretion of the Manager, usually in June and December |
| **Board Lot Size** | 100 units |
| **Charges** | Usual brokerage commission and levies |
| **Management Fee** | 0.55% per annum# |
| **Total Net Asset** | 127,671,374.59 |
| **NAV per unit** | 31.9178 |
| **Units Outstanding** | 4,000,000 |

# This refers to management fee only. For complete information about fees and charges, please refer to the Prospectus.

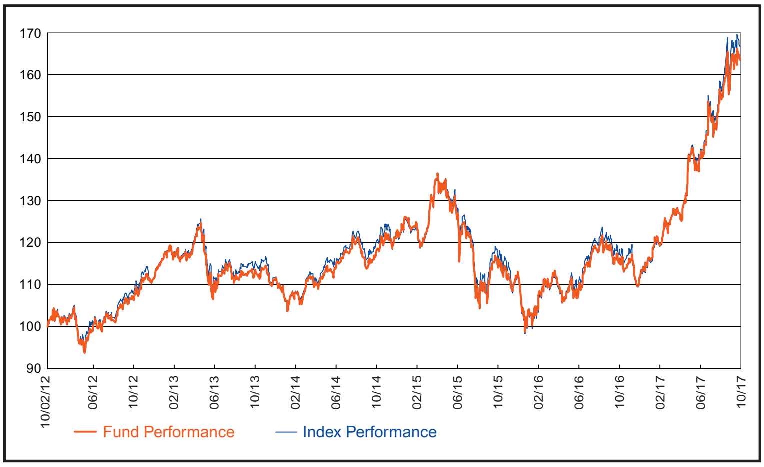
## Performance (%)

| Cumulative | YTD | 1 Month | 3 Months | 6 Months | Since Inception |
|---|---|---|---|---|---|
| Fund (HKD) | 49.88% | 3.52% | 8.48% | 31.20% | 66.69% |
| Index (HKD) | 46.72% | 3.87% | 7.50% | 28.87% | 63.76% |

| Calendar Year | 2016 | 2015 | 2014 | 2013 | 2012 | 2011 |
|---|---|---|---|---|---|---|
| Fund (HKD) | -1.22% | -7.65% | 8.79% | 0.10% | 11.94%^ | - |
| Index (HKD) | -1.30% | -7.51% | 9.42% | -0.01% | 19.45% | -14.09% |

^ Since fund inception

| Annualized | Since Inception |
|---|---|
| Fund (HKD) | 9.33% |
| Index (HKD) | 9.00% |




Fund performance is calculated in HKD on NAV-to-NAV basis without dividend reinvested. Index performance is price return.

## Fund Codes

| | |
|---|---|
| **Stock Code** | 3070 |
| **Bloomberg Fund Ticker** | 3070 HK Equity |
| **Bloomberg Index Ticker** | CSIH1140 Index |
| **Bloomberg NAV Ticker** | 3070NAV Index |
| **ISIN Code** | HK0000098449 |

## Dividend History

| Ex-date | Dividend per unit | Ex-date | Dividend per unit |
|---|---|---|---|
| 29 Jun 2012 | HKD0.27 | 26 Jun 2015 | HKD0.19 |
| 14 Dec 2012 | HKD0.28 | 11 Dec 2015 | HKD0.52 |
| 28 Jun 2013 | HKD0.32 | 24 Jun 2016 | HKD0.21 |
| 13 Dec 2013 | HKD0.34 | 09 Dec 2016 | HKD0.52 |
| 27 Jun 2014 | HKD0.34 | 30 Jun 2017 | HKD0.25 |
| 12 Dec 2014 | HKD0.48 | | |

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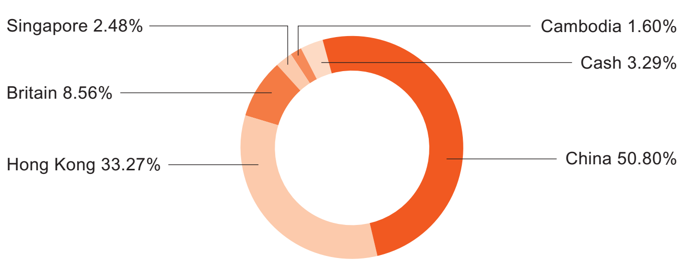
Ping An of China CSI
# HK Dividend ETF 3070

## Country Breakdown

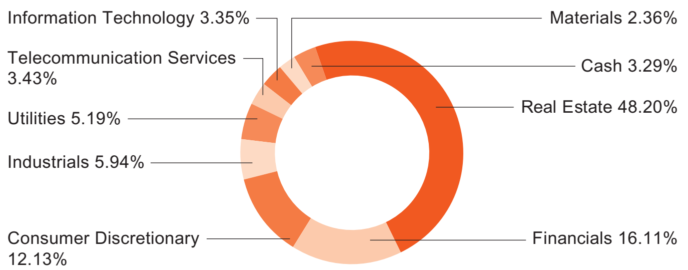



## Market Makers

BNP Paribas Securities (Asia) Limited

China Merchant Securities (HK) Co., Limited

## Sector Breakdown




## Participating Dealers

ABN AMRO Clearing Hong Kong Limited

Chief Securities Limited

Citigroup Global Markets Asia Limited

Credit Suisse Securities (Hong Kong) Limited

Goldman Sachs (Asia) Securities Limited

J.P. Morgan Broking (Hong Kong) Limited

Merrill Lynch Far East Limited

Phillip Securities (Hong Kong) Limited

UBS Securities Hong Kong Limited

## Top 10 Holdings

| | | |
|---|---|---|
| 3333.HK | China Evergrande Group | 21.06% |
| 5.HK | HSBC Holdings Plc | 8.56% |
| 823.HK | Link REIT | 8.52% |
| 3988.HK | Bank of China Ltd-H | 7.55% |
| 551.HK | Yue Yuen Industrial Hldg | 4.26% |
| 902.HK | Huaneng Power Intl Inc-H | 4.24% |
| 8.HK | PCCW Ltd | 3.43% |
| 303.HK | Vtech Holdings Ltd | 3.35% |
| 2777.HK | Guangzhou R&F Properties-H | 2.90% |
| 177.HK | Jiangsu Express Co Ltd-H | 2.53% |

*^Ping An of China CSI HK Dividend ETF is recognized as one of the eligible collective investment schemes under Capital Investment Entrant Scheme (CIES) in Hong Kong*


## For more information:

http://asset.pingan.com.hk
(+852) 3762 9228
enquiries@pingan.com.hk