

## SPDR® FTSE® Greater China ETF

# 3073

### Important Information

- The objective of the SPDR® FTSE® Greater China ETF (the "Fund") is to provide investment returns, before fees and expenses, that closely correspond to the performance of the FTSE® Greater China HKD Index (the "Index").
- Investment involves risk. The Fund is not "actively managed", therefore when there is a decline in the Underlying Index, the Fund will also decrease in value. The Manager will not adopt any temporary defensive position against any market downturn.
- Generally, retail investors can only buy or sell Units on SEHK. The trading prices of the Units on SEHK are driven by market factors such as demand and supply of the Units. Therefore, the Units may trade at a substantial premium/discount to its Net Asset Value.
- The Fund invests a significant portion of its assets in stocks with heavy exposure to China, which involve a greater risk of loss than investing in more developed markets due to, among other factors, greater political, tax, economic, foreign exchange, liquidity and regulatory risks. The concentration of investments in China may result in greater volatility and less liquidity. Investment in these markets involve higher transaction and custody costs.
- The investments of the Fund may be concentrated in securities of a single or several issuers, an industry or group of industries, or in a particular jurisdiction or market. Changes in the financial condition of an issuer, or changes in specific or general economic or political conditions that affect such issuer, industry, jurisdiction or market may adversely affect the value of securities resulting in price volatility and a negative impact on the securities held by the Fund.
- The Fund may not be suitable for all investors and investors may lose part or all of your investment.
- Investors should not invest based on this document only. Investors should read the Fund's prospectus including the risk factors, consider the relevant product features, their own investment objectives, risk tolerance level and other circumstances and seek independent financial and professional advice as appropriate before making any investment decision.

### SEHK Stock Code

3073

### Fund Inception Date

09/15/2010

### Key Features

Tradability

Cost efficiency

Transparency

Diversification

### SEHK Listing Date

09/20/2010

### Fund Objective

The investment objective of the Fund is to provide investment returns, before fees and expenses, that closely correspond to the performance of the FTSE® Greater China HKD Index. The Manager intends to primarily adopt a replication strategy to track the performance of the Index by directly investing in substantially all the securities constituting the Index in substantially the same weightings (i.e. proportions) as these securities have in the Index.

### Index Description

The FTSE® Greater China HKD Index was launched on 24 May 2010, comprising of stocks listed in Hong Kong, Taiwan, Shanghai (B shares), Shenzhen (B shares) and Singapore, providing coverage for the Greater China Region. The Index does not have A Share constituent stocks.

### Performance

| Cumulative Return            | Fund % | Index % | Calendar Year Return | Fund % | Index % |
|------------------------------|--------|---------|----------------------|--------|---------|
| YTD                          | 9.26   | 9.32    | 2017                 | 39.65  | 40.29   |
| 1 Month                      | 9.26   | 9.32    | 2016                 | 6.10   | 6.59    |
| 3 Months                     | 13.10  | 13.25   | 2015                 | -7.49  | -7.13   |
| 6 Months                     | 20.21  | 20.47   | 2014                 | 6.88   | 7.18    |
| <b>Annualized Return</b>     |        |         | 2013                 | 6.70   | 7.23    |
| 1 Year                       | 44.19  | 44.85   | 2012                 | 22.03  | 22.56   |
| 3 Years                      | 13.48  | 13.99   | 2011                 | -19.01 | -18.58  |
| 5 Years                      | 10.47  | 10.94   | 2010                 | 10.56  | 10.68   |
| Since Inception (09/15/2010) | 8.82   | 9.30    |                      |        |         |

Fund performance is calculated on a net-of-fees return basis in HKD terms on NAV-to-NAV basis, with all dividends reinvested.

The Index performances are calculated on a total return basis in HKD which assumes dividends are reinvested. All performances after one year are annualized. The Index was rebased on 19 September 2003 and calculated using actual figures from the FTSE® Greater China Index. All information in relation to the Index is provided by FTSE.

Index returns do not represent actual ETF performance and are for illustration purposes only. Index performance does not reflect tracking error, charges and expenses associated with the Fund, or brokerage commissions associated with buying and selling exchange traded funds. It is not possible to invest directly in an index. Past performance information presented is not indicative of future performance and investors may not get back the full amount invested.

Calendar Performance represents the annual performance for each calendar year, except for 2010 for which the figure represents performance since inception on 15 September 2010 to 31 December 2010.

Performance returns for periods of less than one year are not annualized.

| Geographic Weights | Weight % |
|--------------------|----------|
| China              | 51.92    |
| H Share            | 24.67    |
| P Chip             | 19.03    |
| Red Chip           | 7.70     |
| B Share            | 0.51     |
| Taiwan             | 24.33    |
| Hong Kong          | 23.76    |

| Top Sectors        | Weight % |
|--------------------|----------|
| Financials         | 37.58    |
| Technology         | 24.53    |
| Industrials        | 10.35    |
| Consumer Goods     | 7.40     |
| Basic Materials    | 4.31     |
| Consumer Services  | 3.85     |
| Telecommunications | 3.72     |
| Oil & Gas          | 3.51     |
| Utilities          | 3.07     |
| Health Care        | 1.68     |

| Key Facts                                |  |
|--|--|
| Stock Code                               | 3073                                       |
| ISIN                                     | HK0000068749                               |
| Exchange                                 | The Stock Exchange of Hong Kong Limited    |
| Fund Manager                             | State Street Global Advisors Asia Limited  |
| Trustee                                  | State Street Trust (HK) Limited            |
| Underlying Index                         | FTSE® Greater China HKD Index              |
| Trading Lot Size                         | 200 Units                                  |
| Base Currency                            | HKD  |
| Ongoing Charges Over a Year <sup>1</sup> | 0.48%                                      |
| Dividend Policy                          | Semi-annually at the Manager's discretion  |
| Minimum Creation / Redemption Unit       | 100,000 Units (or whole multiples thereof) |

| Fund Characteristics   |         |
|--|---------|
| Number of Holdings   | 436     |
| Rolling 1-Year Tracking Error*   | 0.0904% |
| *Annualized based on the number of dealing days in the past year when daily Tracking Difference is calculated. |         |

| Index Characteristics           |                      |
|---------------------------------|----------------------|
| Index Net Market Capitalization | HKD \$20.71 trillion |

#### Top 10 Holdings

| Company                                     | Country   | Sector     | Weight % | Company                        | Country   | Sector             | Weight % |
|---|-----------|------------|----------|--------------------------------|-----------|--------------------|----------|
| Tencent Holdings (P Chip)                   | China     | Technology | 11.96    | Ping An Insurance (H)          | China     | Financials         | 2.24     |
| Taiwan Semiconductor Manufacturing          | Taiwan    | Technology | 7.95     | China Mobile (Red Chip)        | China     | Telecommunications | 2.12     |
| AIA Group Ltd.                              | Hong Kong | Financials | 3.91     | Hon Hai Precision Industry     | Taiwan    | Industrials        | 1.79     |
| China Construction Bank (H)                 | China     | Financials | 3.90     | Hong Kong Exchanges & Clearing | Hong Kong | Financials         | 1.78     |
| Industrial and Commercial Bank of China (H) | China     | Financials | 2.80     | Bank of China (H)              | China     | Financials         | 1.74     |

Country weights, top sectors, characteristics and top 10 holdings shown are as of the date indicated above and are subject to change. This information should not be considered a recommendation to invest in a particular country, sector or to buy or sell any security shown. It is not known that whether the countries, sectors or securities shown will be profitable in the future. The holdings are taken from the accounting records of SSGA which may differ from the official books and records of the custodian.

**For more information about our ETFs or how to invest, please visit [www.spdrs.com.hk](http://www.spdrs.com.hk)<sup>2</sup> or call customer hotline (852) 2103 0100.**

<sup>1</sup> The ongoing charges figure is based on the expenses for the financial year ended 30 September 2016. This figure may vary from year to year. The ongoing charges figure is calculated by adding the applicable charges and payments deducted from the assets of the Fund and then dividing by the Fund's average net asset value for the financial year. During the financial year ended 30 September 2016, the Manager intended to maintain the ongoing charges figure to be no more than 0.48% and therefore reimbursed certain expenses of the Fund.

<sup>2</sup> This website has not been reviewed by the Securities and Futures Commission of Hong Kong (the "SFC").

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Investing involves risk including the risk of loss of principal.

Nothing in this document constitutes investment advice and should not be relied upon as such. The value of units in the Fund may fall or rise. Past performance of the Fund is not indicative of future performance. Distributions from the Fund are contingent on dividends paid on underlying investments of the Fund and are not guaranteed. Listing of the Fund on the SEHK does not guarantee a liquid market for the units and the Fund may be delisted from the SEHK. The Fund's offering documents are available from SSGA or can be downloaded from [www.spdrs.com.hk/etf/fund/fund\\_detail\\_3073\\_EN.html](http://www.spdrs.com.hk/etf/fund/fund_detail_3073_EN.html)<sup>2</sup>.

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