



# CSOP CES China A80 ETF

Stock Code: 83137/3137



All information as of 31 October 2017

**IMPORTANT:** Investment involves risks, including the loss of principal. Investors should refer to the ETF's Prospectus and the Product Key Facts for further details, including the product features and risk factors. Past performance is not indicative of future performance and the ETF's NAV per unit may rise as well as fall. Investors should not only base on this marketing material alone to make investment decisions. Investors should note:

- The ETF aims to provide investment results that, before fees and expenses, closely correspond to the performance of CES China A80 Index, which is an index consisting the top 80 A-Share companies by market capitalization listed on the Shanghai Stock Exchange or the Shenzhen Stock Exchange. Investors may not get back part of or the entire amount they invest.
- The ETF is a RMB physical A-share exchange traded funds issued outside PRC to invest directly in the A-share market which is inherently a market with restricted access. Investing solely in China market may also subject the ETF to emerging market risk and concentration risk.
- Investors who bought units on the HKD counter may be subject to currency exchange risk as the assets of the ETF are denominated in RMB.
- The liquidity and trading price of the RMB traded units may be adversely affected by the limited availability of RMB outside the PRC and the restrictions on the conversion between foreign currency and RMB.
- There are risks and uncertainties associated with the current Chinese tax laws applicable to investments made by an RQFII ETF. Provisions of PRC taxes may not be sufficient or may even be excessive. Any shortfall between the reserves and actual tax liabilities may have to be covered by the ETF's assets and may adversely affect the ETF's asset value.
- The ETF is subject to tracking error risks due to factors such as fees and expenses of the ETF and the liquidity of the market etc.
- The units of the ETF are traded on the Stock Exchange of Hong Kong ("SEHK"). Their prices on the SEHK are based on secondary market trading factors and may deviate significantly from the net asset value of the ETF.
- The Manager may, at its discretion, pay dividends out of capital. Payment of dividends out of capital or effectively out of the capital amounts to a return or withdrawal of part of an investor's original investment or from any capital gains attributable to that original investment. Any distributions involving payment of dividends out of the capital or effectively out of the capital of the ETF may result in an immediate reduction of the NAV per Unit.

This material has not been reviewed by the Securities and Futures Commission.

Issuer: CSOP Asset Management Limited

## Investment Objective

The Fund is a physical ETF that seeks to provide investment returns, before deduction of fees and expenses that closely correspond to the performance of the CES China A80 Index.

## Fund Information

Legal Structure	Hong Kong Unit Trust
Manager	CSOP Asset Management Limited
Exchange Listing	SEHK – Main Board
Investment Strategy	Full Replication Strategy
Fund Size	RMB 6.24 million
Units Outstanding	200,000
Base Currency	RMB
Share Class Currency	RMB, HKD
Dividend Frequency <sup>4</sup>	Annually
Custodian	The Hongkong and Shanghai Banking Corporation Limited
PRC Custodian	HSBC Bank (China) Company Limited
Trustee and Registrar	HSBC Institutional Trust Services (Asia) Limited

## Cumulative Performance(%)<sup>1</sup>

Counter	1 Month	6 Month	1 Year	3 Year	Since Listing <sup>3</sup>
RMB	6.27	20.21	21.86	48.97	41.30
Index <sup>2</sup>	6.45	22.76	29.41	81.76	75.65

## Calendar Year Performance(%)<sup>1</sup>

Counter	2013 <sup>3</sup>	2014	2015	2016	2017YTD
RMB	-8.23	57.23	-6.67	-14.27	22.40
Index <sup>2</sup>	-7.96	60.08	-1.43	-6.12	28.82



## Share Class Information

Counter	Net Asset Value	Listing Date	ISIN Code	Exchange Ticker	Bloomberg Code	Trading Lot Size	Management Fee <sup>5</sup>	Last Distribution <sup>6</sup>
RMB	31.2223	23-Sep-13	HK0000161734	83137	83137 HK Equity	200 units	0.89% p.a.	RMB 0.67
HKD	36.7512	23-Sep-13	HK0000161742	3137	3137 HK Equity	200 units	0.89% p.a.	RMB 0.67

### Footnote:

- NAV to NAV performance without dividend reinvested.
- The Fund's underlying index is CES China A80 Index (Bloomberg Ticker: CESA80 Index); performance is calculated based on price return.
- Calculated since the listing date of 23 September 2013.
- The frequency of dividend distribution may change at the discretion of the Manager.
- All-in management fee includes trustee fee, custodian fee and registrar's fee
- Ex-dividend Date: 26 October 2016.



# CSOP CES China A80 ETF

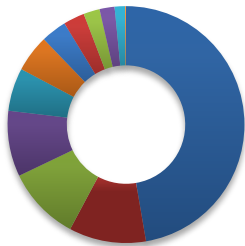
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All information as of 31 October 2017



## Sector Breakdown



Financials	- 47.4%
Consumer Discretionary	- 10.54%
Consumer Staples	- 10.11%
Industrials	- 8.99%
Information Technology	- 5.89%
Real Estate	- 5.07%
Health Care	- 3.51%
Utilities	- 2.9%
Materials	- 2.22%
Energy	- 2.06%
Telecommunication Services	- 1.43%
Cash and others	- -0.12%

Source: Bloomberg

## Top 10 Holdings

Company	% of NAV
PING AN INSURANCE GROUP CO-A	9.96
KWEICHOW MOUTAI CO LTD-A	4.36
CHINA MERCHANTS BANK-A	3.97
MIDEA GROUP CO LTD-A	3.27
INDUSTRIAL BANK CO LTD -A	3.10
CHINA VANKE CO LTD -A	2.97
GREE ELECTRIC APPLIANCES I-A	2.93
CHINA MINSHENG BANKING-A	2.79
INNER MONGOLIA YILI INDUS-A	2.53
BANK OF COMMUNICATIONS CO-A	2.43

## Participating Dealers

ABN AMRO Clearing Hong Kong Limited  
 China Merchants Securities (HK) Co. Limited  
 CIMB Securities Limited  
 CITIC Securities Brokerage (HK) Limited  
 Citigroup Global Markets Asia Limited  
 Deutsche Securities Asia Limited  
 Goldman Sachs (Asia) Securities Limited  
 Haitong International Securities Company Limited  
 J.P. Morgan Broking (Hong Kong) Limited  
 Macquarie Bank Limited  
 Merrill Lynch Far East Limited  
 Nomura International (Hong Kong) Limited  
 The Hong Kong and Shanghai Banking Corporation Limited  
 UBS Securities Hong Kong Limited

## Market Makers (RMB Traded Units)

Bluefin HK Limited  
 Commerz Securities Hong Kong Limited  
 Deutsche Securities Asia Limited  
 Haitong International Securities Company Limited  
 Optiver Trading Hong Kong Limited  
 UBS Securities Hong Kong Limited

## Market Makers (HKD Traded Units)

Bluefin HK Limited  
 Commerz Securities Hong Kong Limited  
 Deutsche Securities Asia Limited  
 Haitong International Securities Company Limited  
 Optiver Trading Hong Kong Limited  
 UBS Securities Hong Kong Limited

### CES Disclaimer

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