

# BMO Hong Kong Banks ETF

3143 HK

Data as of December 29, 2017

**IMPORTANT:** BMO Hong Kong Banks ETF is established under BMO ETF, an umbrella unit trust. Commissions, management fees and expenses all may be associated with this Sub-Fund. It may not be suitable for all investors and investors should not invest based on this marketing material alone. Investors should exercise caution before investing and read the BMO ETF prospectus (available at [www.bmo.hk/etfs](http://www.bmo.hk/etfs)) for further details, including product features and risk factors. ETFs are not guaranteed and past performance is not indicative of future performance. Investment involves risks. The value of investments and the income from them can go down as well as up as a result of stock market and currency movements, and investors may not get back the original amount invested.

- BMO Hong Kong Banks ETF aims to provide investment results that, before fees and expenses, closely correspond to the performance of the NASDAQ Hong Kong Banks™ Index. This Sub-Fund primarily invests in securities that are listed on The Stock Exchange of Hong Kong Limited and classified as Banks by the Industry Classification Benchmark (ICB).
- This Sub-Fund is subject to concentration risk as a result of tracking the performance of a single geographical region (Hong Kong) and sector (banking). It is likely to be more volatile than a broad-based fund as it is more susceptible to fluctuations in value resulting from adverse conditions in Hong Kong and the banking sector. Stock prices of financial service companies are also more sensitive to the movement of interest rates.
- The units of the Sub-Fund may trade at a substantial premium or discount to their NAV.
- The Sub-Fund is subject to tracking error risks due to factors such as fees and expenses and the representative sampling strategy that may be adopted by the Manager.

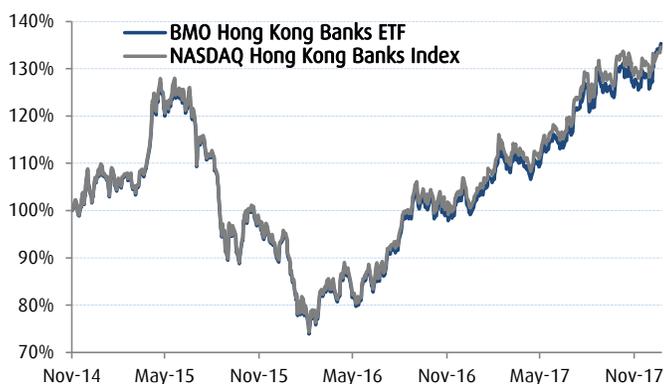
## FUND DETAILS

|                        |  |
|------------------------|--|
| Manager                | BMO Global Asset Management (Asia) Limited |
| Trustee                | Cititrust Limited                          |
| Listing Date           | November 13, 2014                          |
| Exchange               | Stock Exchange of Hong Kong                |
| Index                  | NASDAQ Hong Kong Banks™ Index              |
| Bloomberg Ticker       | 3143.HK                                    |
| Index Ticker           | NQHKBKHN                                   |
| ISIN                   | HK0000221397                               |
| Net Asset (HKD)        | 146,261,912                                |
| Trading Lot Size       | 200  |
| Ongoing Charges        | 0.45%                                      |
| Trading Currency       | HKD  |
| Distribution Frequency | Semi-annually                              |

## FUND CHARACTERISTICS

|                          |      |
|--------------------------|------|
| Number of Securities     | 16   |
| Index Market Cap (US\$B) | 511  |
| Price/Earnings Ratio     | 9.66 |
| Price/Book Ratio         | 0.94 |
| Dividend Yield (%)       | 3.99 |

## CUMULATIVE RETURNS



## INVESTMENT OBJECTIVE

The investment objective of the BMO Hong Kong Banks ETF is to provide investment results that, before fees and expenses, closely correspond to the performance of the NASDAQ Hong Kong Banks™ Index.

## BENEFITS FOR INVESTORS

FIRST ETF to offer exclusive exposure to Hong Kong banking sector, providing investors:

- Diversified investment in Hong Kong banking stocks listed on the Stock Exchange of Hong Kong
- Exposure to a key sector that serves as a proxy for economic growth in the Greater China region
- Potential for attractive dividend yields with sustainable growth potential

## INDEX INFORMATION

The Index is a float adjusted modified market capitalisation weighted index which measures the performance of securities that are listed on the SEHK and classified as Banks by the Industry Classification Benchmark (ICB).

The eligible security must have a minimum float adjusted market capitalisation of USD 500 million and a minimum three-month average daily dollar trading value of USD 3 million.

At each quarter, the Index is rebalanced such that the maximum weight of any Index security does not exceed 20%, and the maximum weight of the top 5 securities does not exceed 70%.

The Index was launched on July 21, 2014 and is denominated in HKD. The constituents of the Index are rebalanced quarterly.

## PERFORMANCE (Cumulative Returns %)

|       | 1 Month | 3 Months | 6 Months | 1 Year | YTD <sup>^</sup> | Since Inception <sup>*</sup> |
|-------|---------|----------|----------|--------|------------------|------------------------------|
| Fund  | 2.48%   | 5.97%    | 12.76%   | 29.87% | 29.87%           | 31.86%                       |
| Index | 2.52%   | 6.10%    | 13.13%   | 30.65% | 30.65%           | 34.55%                       |

## CALENDAR YEAR RETURNS (%)

|       | YTD <sup>^</sup> | 2016  | 2015    | 2014 <sup>*</sup> |
|-------|------------------|-------|---------|-------------------|
| Fund  | 26.72%           | 8.29% | -13.44% | 8.32%             |
| Index | 27.43%           | 9.28% | -13.22% | 8.60%             |

**Note:** The computation basis of the performance is based on the calendar year end, NAV-To-NAV, with dividend reinvested. These figures shown represent how much the fund increased or decreased in value during the calendar year. Performance data has been calculated in HKD, including ongoing charges.

<sup>^</sup> Performance up to December 29, 2017. <sup>\*</sup> The Fund's inception date was November 7, 2014.



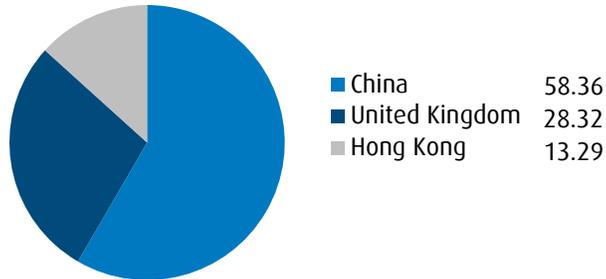
Exchange Traded Funds  
交易所買賣基金

# BMO Hong Kong Banks ETF

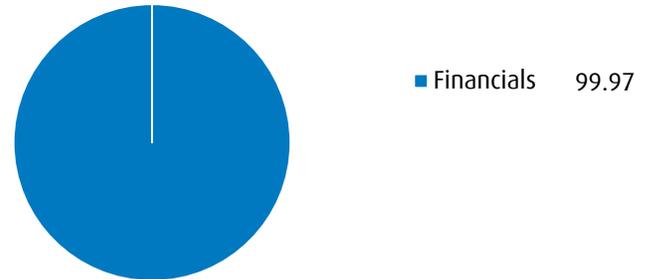
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## GEOGRAPHIC ALLOCATION (%)



## SECTOR ALLOCATION (%)



## TOP 10 HOLDINGS (%)

|                                |       |
|--------------------------------|-------|
| China Construction Bank Corp   | 20.61 |
| HSBC Holdings PLC              | 19.99 |
| Industrial & Commercial Bank o | 12.73 |
| Bank of China Ltd              | 9.09  |
| Standard Chartered PLC         | 8.33  |
| BOC Hong Kong Holdings Ltd     | 5.72  |
| Hang Seng Bank Ltd             | 5.65  |
| China Merchants Bank Co Ltd    | 4.70  |
| Agricultural Bank of China Ltd | 4.14  |
| Bank of East Asia Ltd/The      | 1.92  |

## PARTICIPATING DEALERS

|  |
|--|
| BNP Paribas Securities Services                    |
| Goldman Sachs (Asia) Securities Limited            |
| Guotai Junan Securities (Hong Kong) Limited        |
| Haitong International Securities Company Limited   |
| Macquarie Bank Limited                             |
| SG Securities (HK) Limited                         |
| The Hong Kong and Shanghai Banking Company Limited |

## MARKET MAKERS

|  |
|--|
| BNP Paribas Securities (Asia) Limited            |
| Guotai Junan Securities (Hong Kong) Limited      |
| Haitong International Securities Company Limited |
| HSBC Securities Brokers (Asia) Limited           |

## Excellence gets rewarded

|  |  |
|--|--|
| ETF Manager of the Year for Hong Kong                    | Asia Asset management 2016 Best of the Best Awards |
| Best ETF Provider for Hong Kong                          | The Asset Triple A ETF Awards 2016                 |
| Most Innovative ETF Provider (Best-in-Class)             | BENCHMARK Fund of the Year Awards 2016             |
| Most Innovative ETF Provider 2016 — Asia                 | Wealth & Finance 2016 Fund Awards                  |
| Best-in-Class for Sector Equity Financial Services       | BENCHMARK Fund of the Year Awards 2016             |
| Outstanding Performer (NAV Tracking Error) for HK Equity | Bloomberg Businessweek Top Fund Awards 2016        |
| Most Innovative ETF Product                              | The Asset Triple A ETF Awards 2015                 |
| Best Thematic ETF  | Asia Asset Management ETF & Indexing Awards 2015   |
| Best New ETF   | Asia Asset Management ETF & Indexing Awards 2015   |

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